## JC03 Rec'd PCT/PTO 25 APR 2005

PTO-1390 (Rev. 02-2005)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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PCT/JP2003/014009  31 October 2003  31 October 2002  TITLE OF INVENTION COMPOSITIONS FOR CULTURE OF PLURIPOTENT STEM CELLS  APPLICANT(S) FOR DO/EO/US Hitoshi NIWA and Kazuya OGAWA  Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information 1. x This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.	n:										
INTERNATIONAL APPLICATION NO. PCT/JP2003/014009 31 October 2003 31 October 2002  TITLE OF INVENTION COMPOSITIONS FOR CULTURE OF PLURIPOTENT STEM CELLS  APPLICANT(S) FOR DO/EO/US Hitoshi NIWA and Kazuya OGAWA  Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.											
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This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.											
3. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.											
The US has been elected (Article 31).											
x A copy of the International Application as filed (35 U.S.C. 371 (c)(2))											
a. is attached hereto (required only if not communicated by the International Bureau).											
x has been communicated by the International Bureau. WO 2004/039965 A1											
is not required, as the application was filed in the United States Receiving Office (RO/US).											
x An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).											
a. x is attached hereto.											
b. has been previously submitted under 35 U.S.C. 154(d)(4).											
7. x Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))											
are attached hereto (required only if not communicated by the International Bureau).	a. are attached hereto (required only if not communicated by the International Bureau).										
b. have been communicated by the International Bureau.	have been communicated by the International Bureau.										
c. have not been made; however, the time limit for making such amendments has NOT expired.	have not been made; however, the time limit for making such amendments has NOT expired.										
d. x have not been made and will not be made.	x have not been made and will not be made.										
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).										
9. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).	An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).										
An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).											
Items 11 to 20 below concern document(s) or information included:											
11. x An Information Disclosure Statement under 37 CFR 1.97 and 1.98.											
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.											
13. x A preliminary amendment.	A preliminary amendment.										
x An Application Data Sheet under 37 CFR 1.76.											
A substitute specification.											
16. A power of attorney and/or change of address letter.	A power of attorney and/or change of address letter.										
A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.											
A second copy of the published International Application under 35 U.S.C. 154(d)(4).											
A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).											
20. x Other items or information: PCT/ISA/210; PCT/IPEA/409; PCT/IB/308; PCT/IB/304; 1 sheet of Formal Drawings	Other items or information: PCT/ISA/210; PCT/IPEA/409; PCT/IB/308; PCT/IB/304; I sheet of Formal Drawings										

## JC12 Rec'd PCT/PTC 2 5 APR 2005

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.								Αī	ATTORNEY'S DOCKET NUMBER		
10/532579 PCT/JP2003/014009							0020-5374PUS1				
The following fees have been submitted								CALCULATIONS	PTO USE ONLY		
21. x Basic national fee\$300							\$	300.00			
22. x Examination fee											
If International preliminary examination report prepared by USPTO and all claims satisfy											
provisions of PCT Article 33(1)-(4) \$100 All other situations \$200								200.00			
							\$	200.00			
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority											
International Search Report prepared and provided to the Office								L			
All other situations\$500								\$	500.00		
TOTAL OF 21, 22 and 23 =  Additional fee for specification and drawings filed in paper over 100 sheets (excluding								\$	1,000.00		
sequence	e listin	g or computer i	orogram	listing f	iled in an electroni						
\$250 for	each a	additional 50 sh		<u> </u>	fraction thereof.		_	4			
Total Sheets	E	xtra sheets		Number of each additional 50 or fraction thereof (round up to a whole number				ı			
39 - 100 =		/50 =		(	× \$250.00			1			
					claration later tha	n 30 mont	hs	s	130.00		
from the earliest	daime							ļ	150.00	l	
	CLAIMS NUMBER FILED						RATE	-	450.00	1	
Total claims			20 =	-	9	X	50.00	\$	450.00		
Independent cla			- 3 =	aple)	3	X +	200.00	\$	600.00		
MULTIPLE DEPENDENT CLAIM(s) (if applicable) +  TOTAL OF ABOVE CALCULATIONS =							\$	2,180.00	<del> </del>		
							\$	2,100.00			
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.							1_				
SUBTOTAL =							\$	2,180.00	l		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).							\$				
TOTAL NATIONAL FEE =							\$ 2,180.00				
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be							s				
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property							Ĺ				
TOTAL FEES ENCLOSED =							\$	2,180.00	r		
									mount to be funded:	\$	
									mount to be	\$	
								cl	narged:	ΙΨ	
a. x A che	ck in	the amount of	\$	2	,180.00	to cover th	ne above fees	is e	nclosed.		
b. Please charge my Deposit Account No. in the amount of \$											
to cover the above fees. A duplicate copy of this sheet is enclosed.											
CV The C	`omm	issionar is bar	oby aut	201200	to obargo any a	iditional fo	oc which may	, bo	required or credit as	274	
c. x The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2448 . A duplicate copy of this sheet is enclosed.											
	-	•					• •			[	
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card											
information should not be included on this form. Provide credit card information and authorization on PTO-2038.											
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.											
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SEND ALL CORRESPONDENCE TO:									ell —		
SIGNATURE:								ark J. Nuell			
NAME								411	J. Muen		
CUSTOMER NUMBER: 02292											
April 25, 2005 36,623								.623			
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